

Photoelectron Study of HfO₂/Ge(100) Stacked Structures

○ Hiroshi Nakagawa, Akio Ohta, Hideki Murakami
Seiichiro Higashi and Seiichi Miyazaki
Department of Electrical Engineering
Graduate School of Advanced Sciences of Matter
Hiroshima University

OUTLINE

1. Motivation & Background
2. Sample Preparation & Experimental Procedure
3. Characterization
 - Chemical Bonding Features of HfO₂/Ge(100)
 - Blocking Properties of Pregrown SiONx on the Interfacial Reaction
4. Determination of Energy Band Alignment for HfO₂/Ge(100) System
 - O1s Energy Loss Spectra
 - XPS Valence Band Spectra
5. Summary

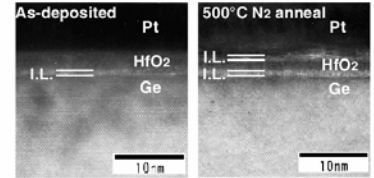
Motivation

★ Improvement of device performance → Enhancement of the channel mobility for MOSFETs
 ○ Ge, SiGe, Strained Si, etc

○ Major technological challenges for implementation of the surface channel devices

GeOx ↑ > 450°C
 K. Prabhakaran et al., Surf. Sci. 325, 263 (1995)

- The use of alternative gate dielectrics
- The control of interfacial reactions between gate dielectrics and Ge



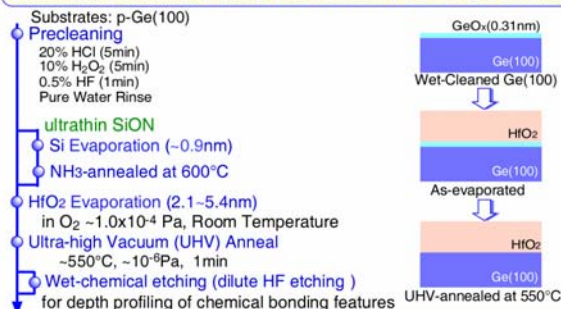
Y. Kamata et al., Jpn. J. Appl. Phys. 44 (2005) 2323

★ The combination of Ge channel and high-k dielectric is increasingly attractive
 → The thermal stability of the interface between high-k gate dielectric and Ge(100)

This Work

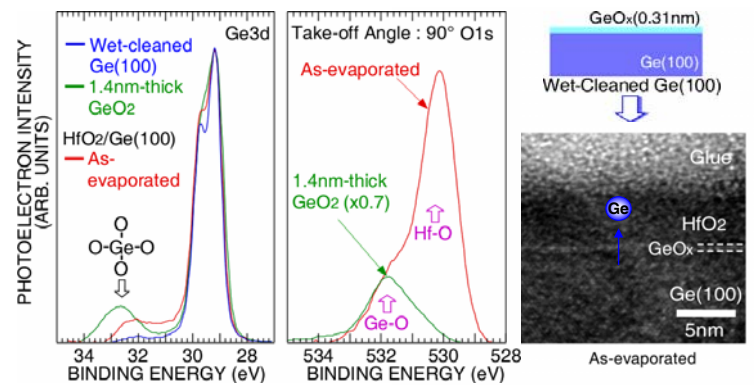
HfO₂/Ge(100) → Characterization of chemical bonding features near the interface and the energy band alignment between HfO₂ and Ge(100)

SAMPLE PREPARATION & EXPERIMENTAL PROCEDURE

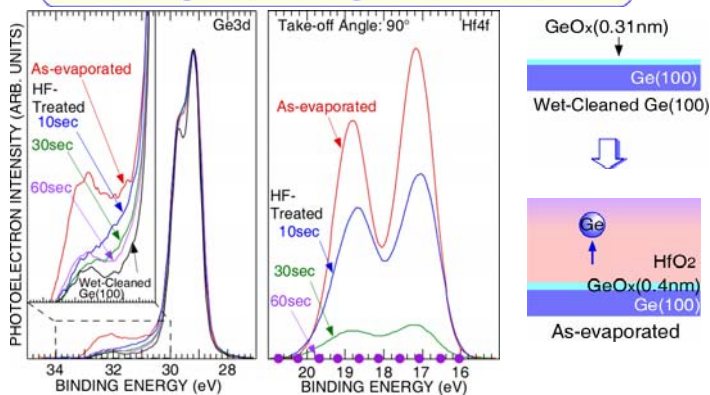


- X-ray Photoelectron Spectroscopy (XPS)
- Core-Line Spectra: Hf4f, Ge3d, Si2p, N1s, O1s
- Chemical Bonding Features
- Photoelectron Energy Loss Spectra → Energy Band Profile of the annealed sample
- Valence Band Spectra
- Transmission Electron Microscope (TEM) → Cross-check the Thickness of the Interfacial Layer

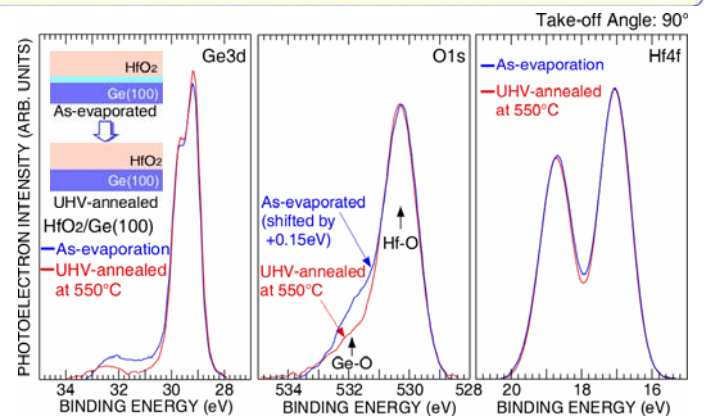
Ge3d & O1s Spectra Before & After HfO₂ Evaporated on Ge(100) Surfaces



Ge3d & Hf4f Spectra for the As-evaporated Sample with Progressive Etching in 0.1% HF Solution

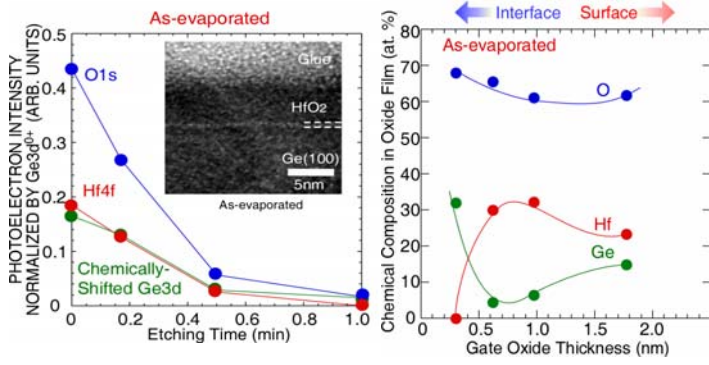


Ge3d, O1s & Hf4f Spectra Before & After UHV-annealed at 550°C

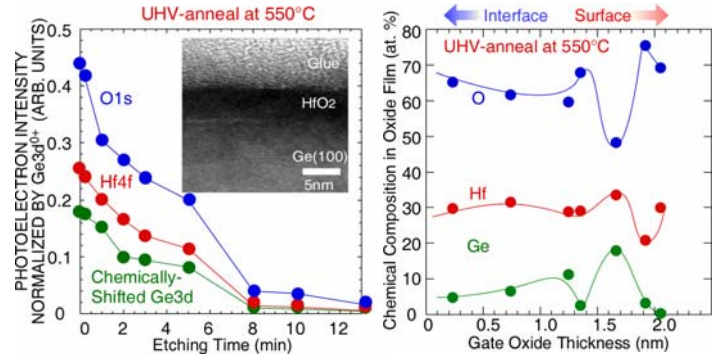


- The chemically shifted Ge3d and O1s signals due to Ge-O bonding units are markedly decreased, which suggests that the thermal desorption of Ge mono oxide occurs by UHV anneal.

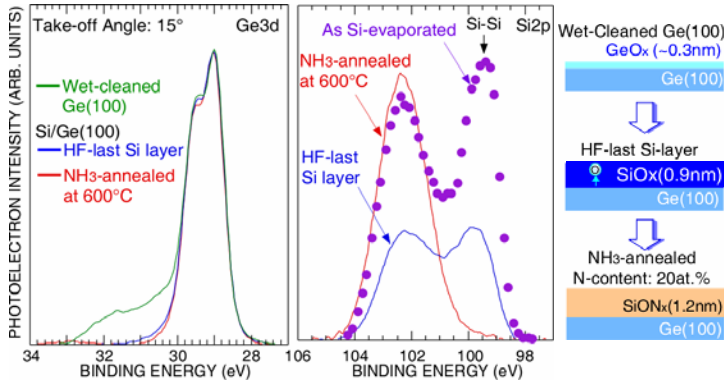
Changes in Integrated Intensities of Chemically-shifted Ge3d, O1s & Hf4f Spectra and The Compositional Profile for As-evaporated Samples



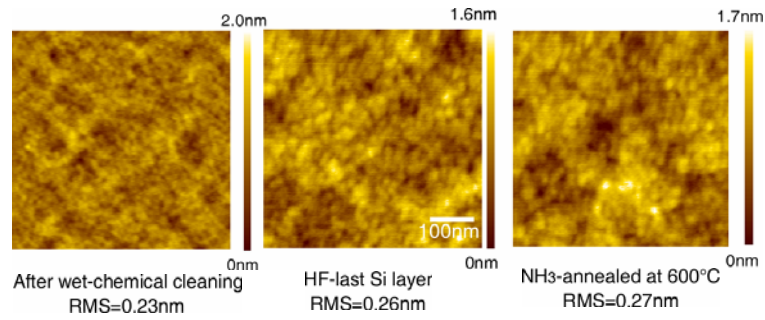
Changes in Integrated Intensities of Chemically-shifted Ge3d, O1s & Hf4f Spectra and The Compositional Profile for Annealed Samples



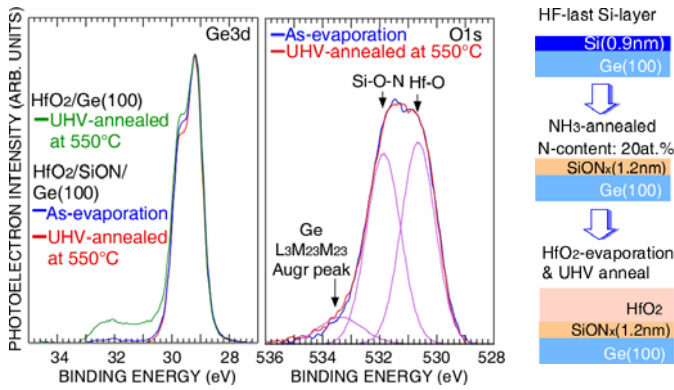
Ge3d & Si2p Spectra Before & After NH3-anneal at 600°C for the HF-last Si Layer



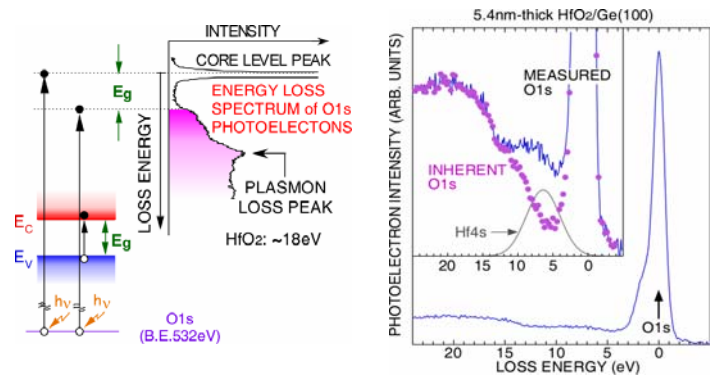
AFM Topographic Images for the HF-last Si Layer & SiON Film



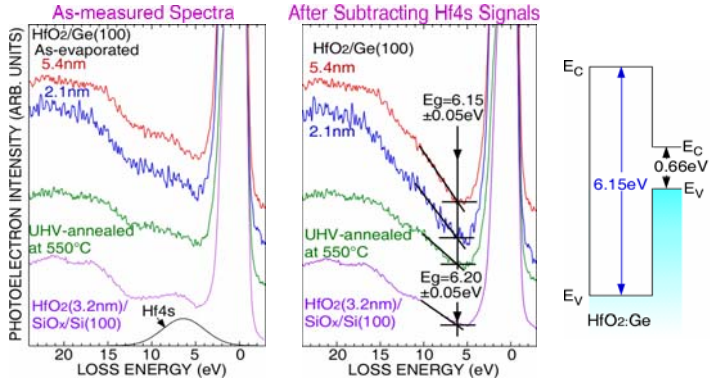
Ge3d & O1s Spectra Before & After UHV-anneal for HfO2/SiON/Ge(100) Stacked Structures



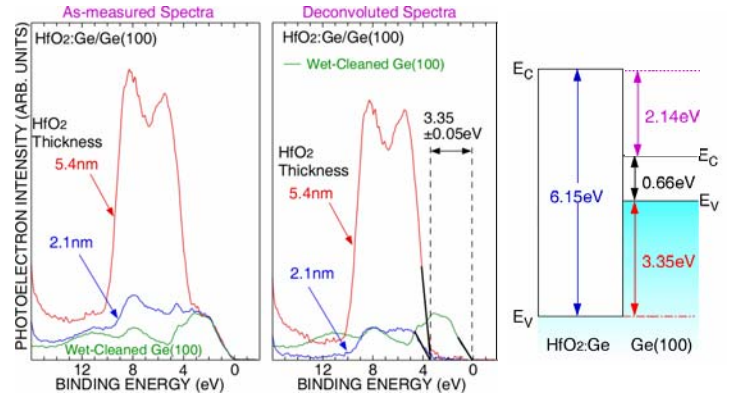
Determination of Bandgap Energies for HfO2 Films from O1s Photoelectron Energy Loss Spectra



Determination of Bandgap Energies for HfO₂ Films from O1s Photoelectron Energy Loss Spectra



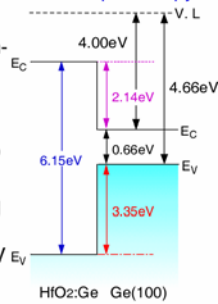
Valence Band Spectra for HfO₂:Ge/Ge(100) Structures & Deconvoluted Spectra



Summary

The chemical bonding features and the energy band alignment between HfO₂ and Ge(100) were evaluated by X-ray photoelectron spectroscopy

- During HfO₂ evaporation, it is found that Ge atoms are diffused and incorporated into 2.1nm-thick HfO₂ films by ~10at.% in average.
- In UHV-anneal at 550°C, the Ge content was increased up to ~20at.% in the near-surface region, but no Ge atom was detected at the top surface.
- The energy band offsets between HfO₂:Ge and Ge(100) after UHV anneal at 550°C are ~3.35eV in the valence band edge and ~2.14eV in the conduction band edge, respectively.



Acknowledgements

This work was partly supported by the 21st Century COE program "Nanoelectronics for Terra-Bit Information Processing" from the Ministry of Education, Culture, Sports, Science and Technology of Japan.